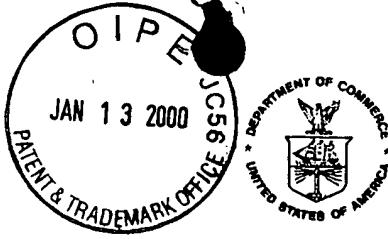


FILING RECEIPT
CORRECTED

ERF

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/289,321 | 04/09/99 | 1642 | \$968.00 | 10254/7007-E | 20 | 30 | 5 |

ELIZABETH R PLUMER
WOLF GREENFIELD & SACKS-PC
600 ATLANTIC AVENUE
BOSTON MA 02210

Ivor R. Elrifi
Mintz Levin
One Financial Center
Boston, MA 02111

Attorney Docket:
19644-010 (Tufts-10)

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) WILLIAM W. BACHOVCHIN, MELROSE, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/837,305 04/11/97 Now PAT 5,965,532
WHICH IS A CIP OF 08/671,756 06/28/96

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/26/99
TITLE

LOW CONCENTRATIONS OF KBP2 ADIPATE INHIBITS IL-2 PRODUCTION

PRELIMINARY CLASS: 514

Corrected Title: Multivalent Compounds for
Crosslinking Receptors and Uses Thereof

| | |
|------------------|-------------------------------------|
| File Folder | 4.1.00 |
| ECB | <input checked="" type="checkbox"/> |
| Docket Entry | <input checked="" type="checkbox"/> |
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| Order Copies | <input type="checkbox"/> |
| Annuities | <input type="checkbox"/> |
| Confirmation | <input type="checkbox"/> |

12/14/99

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JAN 05 2000

MINTZ LEVIN, BOSTON
BOSTON DOCKET DEPT.

DATA ENTRY BY: BLACK, NICOLE

TEAM: 03 DATE: 12/01/99



(See reverse for new important information)

Express Mail Label No. EJ770267398US
Date of Deposit: November 3, 1999

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[+]



PTO/SB (12-97)

Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | | |
|--|--|---|---|
| TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small> | | Application Number | 09/289,321 |
| | | Filing Date | April 9, 1999 |
| | | First Named Inventor | Bachovchin |
| | | Group Art Unit | 1642 |
| | | Examiner Name | Not Assigned |
| | | Attorney Docket Number | 19644-010 (Tufts-10) |
| ENCLOSURES (check all that apply) | | | |
| <input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/ Response <input type="checkbox"/> Affidavit(s)/Declaration(s) <input type="checkbox"/> After Final <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> Postcard <input type="checkbox"/> Response to Missing Parts <i>(Filing Date Granted)</i> | | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Petition Routing Ship (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Combined Declaration and Power of Attorney <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form 1449 PTO <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> After-Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Status Letter <input type="checkbox"/> Terminal Disclaimer |
| <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Request for Corrected Filing Receipt Copy of Filing Receipt with Corrections | | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|------------------|------------------|--------|
| Submitted by | Ivor R. Elrifi | Registration No. | 39,529 |
| Signature | | | |
| Date | November 3, 1999 | | |

Express Mail No. EJ77026798US
Date of Deposit: November 3, 1999

PATENT APPLICATION
Attorney Docket No.: 19644-010 (Tufts-10)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Bachovchin
ASSIGNEE : Tufts University
SERIAL NUMBER : 09/289,321
FILING DATE : April 9, 1999
FOR : Multivalent Compounds for Crosslinking Receptors and Uses Thereof



EXAMINER : To Be Assigned
ART UNIT : 1642

November 3, 1999
Boston, Massachusetts

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Applicants request a Corrected Filing Receipt for the above mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data, which are:
 incorrectly entered
and/or
 omitted.

| <i>Error in</i> | <i>Correct data</i> |
|---|--|
| 1. <input type="checkbox"/> Applicants' name | 1. |
| 2. <input type="checkbox"/> Applicants' address | 2. |
| 3. <input checked="" type="checkbox"/> Title | 3. Multivalent Compounds for Crosslinking Receptors and Uses Thereof |
| 4. <input type="checkbox"/> Filing Date | 4. |
| 5. <input type="checkbox"/> Serial Number | 5. |
| 6. <input type="checkbox"/> Foreign/PCT Application Re: | 6. |
| 7. <input type="checkbox"/> Continuing Data | 7. |

Applicants: Bachovchin
U.S.S.N. 09/289,321

8. Other - Name change in Attorney
for Applicants

8. Ivor R. Elrifi

3. The correction is not due to any error by Applicants and Applicants believe that no fee is due.
However, the Commissioner is authorized to charge any additional fees that may be due, or to
credit any overpayment, to Deposit Account No. 50-0311.

OR

At least one of the above corrections is due to applicants' error and the fee therefor, under 37 C.F.R.
1.19(h), of \$25.00 is paid as follows:

Enclosed is check for \$25.00.

Charge Account _____ the sum of \$25.00.

4. Other documents:

Respectfully submitted,



Ivor R. Elrifi, Reg. No. 39,529
Heidi A. Erlacher, Technology Specialist
Attorneys for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: November 3, 1999

TRADOC:1252005.1(Q%1X01!.DOC)



Tufts-10

Serial No. 09/289,321 File No. 19644-010 By: IRE/HAE
Title: Multivalent Compounds for Crosslinking Receptors and...
Application of Bachovchin Date: April 9, 1999

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

- | | |
|---|--|
| <input type="checkbox"/> Req. for CPA under 37 CFR 1.53(d) | <input type="checkbox"/> Provisional Application Cover Sheet |
| <input type="checkbox"/> Change of Attorney's Address | <input type="checkbox"/> Inf. Discl. Statement, PTO Form 1449 |
| <input type="checkbox"/> New Power of Attorney | <input type="checkbox"/> References Cited |
| <input type="checkbox"/> Patent Application | <input type="checkbox"/> Copy of Notice to File Missing Parts |
| <input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional Incl. _____ pages, (_____ pgs) Specification, (_____ pgs) Abstract, (_____ pgs) Claims (_____ # claims) | <input type="checkbox"/> Amendment/Response |
| <input type="checkbox"/> Design Patent Application | <input type="checkbox"/> Petition for Ext. of Time (x2) |
| <input type="checkbox"/> Declaration(s) _____ | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Drawings _____ sheet(s) (FIGS. _____ - _____) <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> Letter to Official Draftsperson |
| <input type="checkbox"/> Verified Statement claiming small entity status | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Brief (x3) <input checked="" type="checkbox"/> Check for \$ <u>25.00</u> Check # <u>4744</u> |
| | <input type="checkbox"/> Transmittal Letter (x2) |
| | <input type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a) |
| | <input type="checkbox"/> Express Mail Label No. <u>EJ770267398US</u> |

Other Request for Corrected Filing Receipt; Copy of Filing
Receipt with Corrections.

November 3, 1999

DATE MAILED

Express Mail No.: EJ770267398US

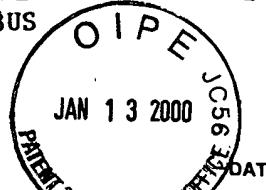
MINTZ, LEVIN, COHN, FERRIS
GLOVSKY & POPEO, P.C.
INTELLECTUAL PROPERTY IMPREST ACCOUNT
1 FINANCIAL CENTER
BOSTON, MA 02111

PAY
TO THE
ORDER OF

COMMISSIONER OF PATENT AND TRADEMARKS

4744

5-39/110



Nov. 3, 1999

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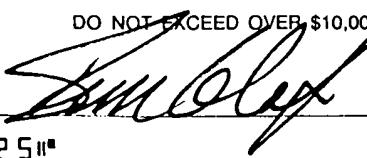
 BankBoston.

BankBoston, N.A. - Boston, Massachusetts

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FOR 19644-010 Corrected Filing Receipt

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| In | Postage | |
| Day | 12 Noon <input type="checkbox"/> 3 PM | \$ |
| In | Military | Return Receipt Fee |
| AM <input type="checkbox"/> PM | <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day | COD Fee Insurance Fee |
| WT | Int'l Alpha Country Code | |
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| Delivery Attempt: | Time | Employee Signature |
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| Delivery Attempt: | Time | Employee Signature |
| Mo. Day | — AM — PM | |
| Delivery Date | Time | Employee Signature |
| Mo. Day | — AM — PM | |
| Signature of Addressee or Agent: | | |
| X | | |
| Name - Please Print: | | |
| X | | |

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WAIVER OF SIGNATURE (Domestic Only) Additional merchandise insurance is void if waiver of signature is requested.
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NO DELIVERY Weekend Holiday Customer Signature

FROM: (PLEASE PRINT) PHONE (617) 542-6000

Ivor R. Eirifi
 Mintz Levin
 One Financial Center
 Boston, MA 02111

19644-010 (Tufts-10) IRE/HAE

TO: (PLEASE PRINT) PHONE ()

Assistant Commissioner for Patents
 Office of Initial Patent Examiner
 Customer Service Center
 Washington, DC 20231

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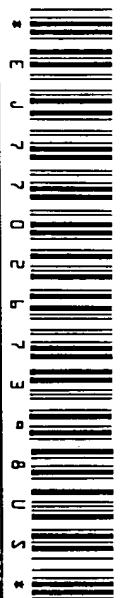
FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.gov

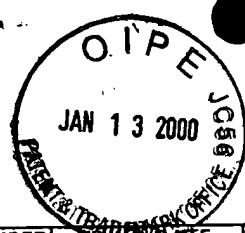


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FILING RECEIPT



E.R.P

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILED DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/289,321 | 04/09/99 | 1642 | \$0.00 | 10254-7007-E | 20 | 30 | 5 |

~~ELIZABETH R PLUMER~~ →
~~WOLF GREENFIELD & SACKS PC~~
~~600 ATLANTIC AVENUE~~
~~BOSTON MA 02210~~

Ivor R. Elrifi
Mintz Levin
One Financial Center
Boston, MA 02111

19644 →
Attorney Docket:
19644-010 (Tufts-10)

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s):

WILLIAM W. BACHOVCHIN, MELROSE, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

** THIS APPLN IS A CON OF 08/837,305 04/11/97
WHICH IS A CIP OF 08/671,756 06/28/96
** USSN: 08/837,305 is now US Patent 5,965,532

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/26/99

TITLE

LOW CONCENTRATIONS OF KBP2-ADIPATE INHIBITS IL-2 PRODUCTION

PRELIMINARY CLASS: 514

| | |
|------------------|-------------------------------------|
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| File Folder | 4.7.00 |
| ECB | <input checked="" type="checkbox"/> |
| Docket Entry (b) | <input checked="" type="checkbox"/> |
| Docket Cross Off | <input checked="" type="checkbox"/> |
| Order Copies | <input type="checkbox"/> |
| Annuities | <input type="checkbox"/> |
| Confirmation | <input type="checkbox"/> |
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DOCKETED
JUN 8 1999

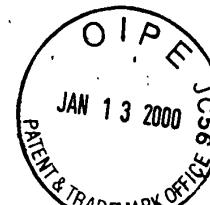
DATA ENTRY BY: BLACK, NICOLE

TEAM: 03 DATE: 05/26/99



Express Mail Label No. EJ
Date of Deposit: January 13, 2000

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01-11-00

Receipt

PTO/SB (12-97)
Approved for use through 9/30/00, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM
(to be used for all correspondence after initial filing)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | |
|------------------------|----------------------|
| Application Number | 09/289,321 |
| Filing Date | April 9, 1999 |
| First Named Inventor | Bachovchin |
| Group Art Unit | 1642 |
| Examiner Name | Not Assigned |
| Attorney Docket Number | 19644-010 (Tufts-10) |

ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After-Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/ Response | <input type="checkbox"/> Petition Routing Ship (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Appeal Communication to Group (Brief, Reply Brief) |
| <input type="checkbox"/> <input type="checkbox"/> Affidavit(s)/Declaration(s) | <input type="checkbox"/> To Convert a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> <input type="checkbox"/> After Final | <input type="checkbox"/> Combined Declaration and Power of Attorney | <input type="checkbox"/> Licensing-related Papers |
| <input type="checkbox"/> <input type="checkbox"/> Notice of Appeal | <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Form 1449 PTO | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Postcard | <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Response to Missing Parts (Filing Date Granted) | <input type="checkbox"/> Request for Refund | |

Additional Enclosure(s) (please identify below):

Second Request for Corrected Filing Receipt

Copy of Filing Receipt with Corrections

Copy of 11/3/99 Request for Corrected Filing Receipt

Copy of Revocation and New Power of Attorney

| | | | |
|---|------------------|------------------|--------|
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
| Submitted by | Dàvid E. Johnson | Registration No. | 41,874 |
| Signature | | | |
| Date | January 13, 2000 | | |

TRADOCS:1282988.1(RHYK01!.DOC)

Express Mail Label No. EJ
Date of Deposit: January 13, 2000



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PTO/SB (12-97)

Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|----------------------|
| Application Number | 09/289,321 |
| Filing Date | April 9, 1999 |
| First Named Inventor | Bachovchin |
| Group Art Unit | 1642 |
| Examiner Name | Not Assigned |
| Attorney Docket Number | 19644-010 (Tufts-10) |

ENCLOSURES (check all that apply)

| | | |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After-Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
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| <input type="checkbox"/> <input type="checkbox"/> After Final | <input type="checkbox"/> Combined Declaration and Power of Attorney | <input type="checkbox"/> Licensing-related Papers |
| <input type="checkbox"/> <input type="checkbox"/> Notice of Appeal | <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Form 1449 PTO | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Postcard | <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Response to Missing Parts (Filing Date Granted) | <input type="checkbox"/> Request for Refund | |
| <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): | | |
| Second Request for Corrected Filing Receipt | | Copy of 11/3/99 Request for Corrected Filing Receipt |
| Copy of Filing Receipt with Corrections | | Copy of Revocation and New Power of Attorney |

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|------------------|------------------|--------|
| Submitted by | David E. Johnson | Registration No. | 41,874 |
| Signature | | | |
| Date | January 13, 2000 | | |

Express Mail No. : EJ770268819US
Date of Deposit: January 13, 2000

PATENT APPLICATION
Attorney Docket No.: 19644-010 (Tufts-10)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Bachovchin
ASSIGNEE : Tufts University
SERIAL NUMBER : 09/289,321
FILING DATE : April 9, 1999
FOR : Multivalent Compounds for Crosslinking Receptors and Uses Thereof



EXAMINER : To Be Assigned
ART UNIT : 1642

January 13, 2000 TC
Boston, Massachusetts

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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100 MAIL ROOM

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Applicant requests that a second corrected Official Filing Receipt be provided for the above-identified application. Applicant encloses a copy of the Request for Corrected Filing Receipt, submitted November 3, 1999. A request was made for correction of (1) Attorney Docket Number; (2) Attorney Name and Address; (3) Title; and (4) Continuing Data.

Only the Continuing data was amended in the Corrected Filing Receipt, which was received January 5, 2000. The Official Filing Receipt still incorrectly lists the Attorney Docket No. as "10254/7007 (E)" rather than the correct --19644-010 (TUFTS-10)--.

The Official Filing Receipt also still incorrectly lists the title as "LOW CONCENTRATIONS OF KBP2-ADIPATE INHIBITS IL-2 PRODUCTION" rather than the correct --MULTIVALENT COMPOUNDS FOR CROSSLINKING RECEPTORS AND USES THEREOF--.

Furthermore, the Official Filing Receipt also still incorrectly lists the Attorney as "Elizabeth R. Plummer" rather than --Ivor R. Elrifi--. A copy of the Revocation by Assignee and New Power of Attorney, filed 1/12/00, is attached hereto.

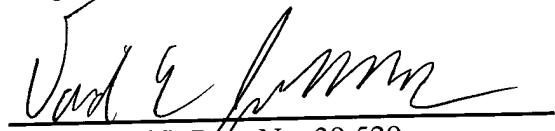
The requested corrections are indicated in red ink on the enclosed copy of the Corrected Filing Receipt.

Applicants: Bachovchin
U.S.S.N. 09/289,321

The above-listed corrections were previously requested and paid for. Applicants believe that no additional fee is due at this time. However, the Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to Deposit Account No. 50-0311, reference 19644-010 (Tufts-10).

Please supply a corrected Filing Receipt to the undersigned with respect to this application.

Respectfully submitted,



Ivor R. Elrifi, Reg. No. 39,529
David E. Johnson, Reg. No. 41,874
Attorneys for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: January 13, 2000

TRADOC:1282979.1(RHYB01!.DOC)